

Understanding and measuring the environmental impact of Natural Language Processing

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Where am I speaking from?

- ▶ Natural Language Processing applied to the biomedical domain
 - ▶ Focus on ethical practices
 - ▶ Needs to scale up
 - ▶ Needs to be resource-aware

- ▶ I share an office with Anne-Laure Ligozat



TLDR;

On the path to greener AI:
involving all stakeholders
measuring impact
informing decisions

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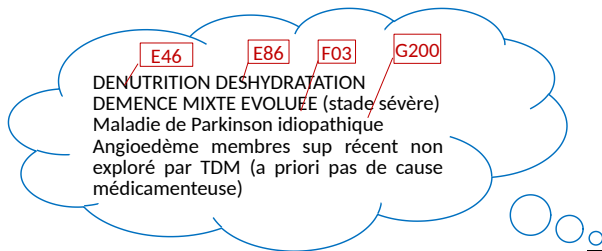
Transforming machine translation: a deep learning system reaches news translation quality comparable to human professionals

[Martin Popel](#) , [Marketa Tomkova](#), [Jakub Tomek](#), [Łukasz Kaiser](#), [Jakob Uszkoreit](#), [Ondřej Bojar](#) & [Zdeněk Žabokrtský](#)

[Nature Communications](#) **11**, Article number: 4381 (2020) | [Cite this article](#)

49k Accesses | **97** Citations | **170** Altmetric | [Metrics](#)

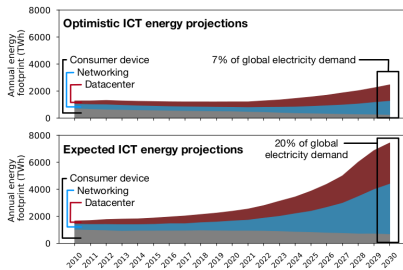
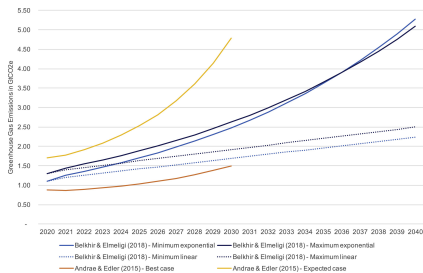
Natural Language Processing has enabled powerful advances



Robert A, Baghdadi Y, Zweigenbaum P, Morgand C, Grouin C, Lavergne T, Névéol A, Fouillet A, Rey G. Développement et application de méthodes de traitement automatique des langues sur les causes médicales de décès pour la santé publique. Bull Epidémiol Hebd. 2019;(29-30):603-9.

... at a cost

ICT emissions estimated at 1.8%-2.8% of global GHG emissions (aviation, globally: 1.9%)



Freitag, C., Berners-Lee, M., Widdicks, K., Knowles, B., Blair, G. S., Friday, A. (2021). The real climate and transformative impact of ICT: A critique of estimates, trends, and regulations. *Patterns*, 2(9).
Gupta, U., et al. "Chasing carbon: The elusive environmental footprint of computing." 2021 IEEE International Symposium on High-Performance Computer Architecture (HPCA). IEEE, 2021.

What is the impact of NLP?

- ▶ A growing interest since 2019
- ▶ ... or not - see NeurIPS author guideline change 2021 vs. 2023

Consumption	CO₂e (lbs)
Air travel, 1 person, NY↔SF	1984
Human life, avg, 1 year	11,023
American life, avg, 1 year	36,156
Car, avg incl. fuel, 1 lifetime	126,000
Training one model (GPU)	
NLP pipeline (parsing, SRL)	39
w/ tuning & experiments	78,468
Transformer (big)	192
w/ neural arch. search	626,155

Table 1: Estimated CO₂ emissions from training common NLP models, compared to familiar consumption.¹

Strubell E, Ganesh A and McCallum A. [Energy and Policy Considerations for Deep Learning in NLP](#). Proc Annual Meeting of the Association for Computational Linguistics (ACL):3645-3650 (2019).

Ten simple rules to make your computing more environmentally sustainable

- ▶ Rule 1: Calculate the carbon footprint of your work
- ▶ Rule 2: Include the carbon footprint in your cost–benefit analysis
- ▶ Rule 3: Keep, repair, and reuse devices to minimise electronic waste
- ▶ Rule 9: Be aware of unanticipated consequences of improved software efficiency

Lannelongue L, Grealey J, Bateman A, Inouye M (2021) Ten simple rules to make your computing more environmentally sustainable. *PLoS Comput Biol* 17(9): e1009324.

Why measure the impact of experiments?



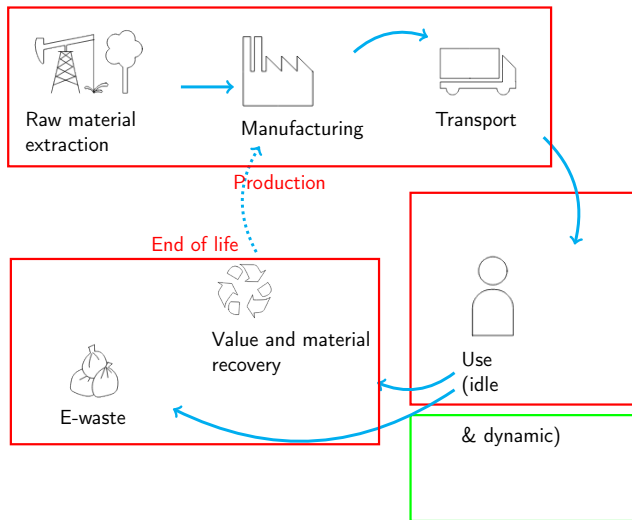
- ▶ Need for sustainable research
- ▶ Need for a comprehensive approach to evaluation, beyond leaderboards

Image credit: C. Morand.

Ethayarajh K and Jurafsky D [Utility is in the Eye of the User: A Critique of NLP Leaderboards](#). Proc. Conference on Empirical Methods in Natural Language Processing (EMNLP) 4846-53. (2020).

How can we measure the impact of experiments?

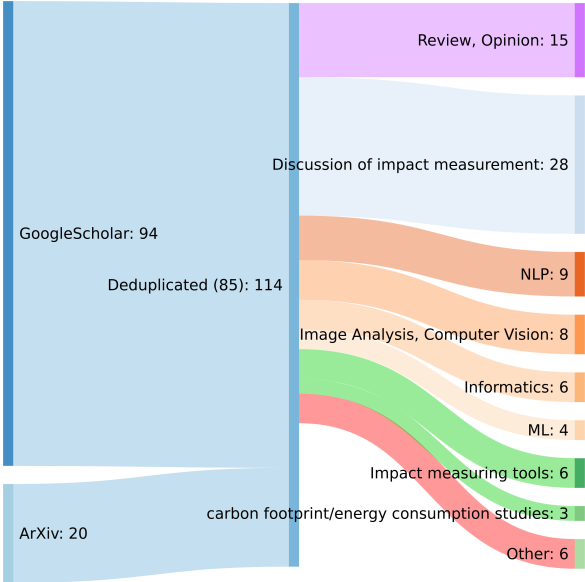
Sources of CO2 emissions include:



Can a tool provide CO2 impact measurement?

- ▶ Literature search:
 - ▶ Seed tools: Experiment Impact Tracker, Pyjoules, Carbon Tracker
 - ▶ Snowballing in Google Scholar + ArXiv "related papers"
- ▶ Selection criteria:
 - ▶ Freely available
 - ▶ usable in linux/mac OS
 - ▶ documented in a scientific publication
 - ▶ suitable to measure the impact of NLP experiments
 - ▶ CO2 equivalent measure

Literature survey



85 publications reviewed lead to identification of 6 tools providing CO2 impact measurement

- ▶ Online tools
 1. Green Algorithms
 2. ML CO2 Impact
- ▶ Python toolkits
 3. Energy Usage
 4. Experiment Impact Tracker
 5. Carbon Tracker
 6. Cumulator
 - 2'. Code Carbon

Sources :

Nesrine Bannour, Sahar Ghannay, Aurélie Névéol, and Anne-Laure Ligozat. Evaluating the carbon footprint of NLP methods: a survey and analysis of existing tools. ACL Workshop SustainNLP 2021:11-21

Mathilde Jay, Vladimir Ostapenco, Laurent Lefèvre, Denis Trystram and Anne-Cécile Orgerie. An experimental comparison of software-based power meters: focus on CPU and GPU. CCGrid 2023:1-13

Criteria for characterizing tools

- ▶ 3 publication criteria
 1. Publication year
 2. Citations (overall, user studies)
- ▶ 7 technical criteria
 1. Availability, ease of installation
 2. Documentation, version
- ▶ 5 configuration criteria
 1. Source of carbon intensity and power usage effectiveness values
 2. Equipment covered by the measurements
- ▶ 2 functional criteria
 1. Sources of emissions targetted
 2. Type of hardware

Features of measurement tools

Feature	online (Green Algorithms)	toolkit (Code Carbon)
direct measure	X	✓
estimation	✓	X
asynchronous	✓	X
comparison on same hardware	~	✓
easy to install	✓	~

Modeling the dynamic impacts of computation

$$E = t * (P_c + P_m) * PUE$$

where:

▶ t = Running time (h)



$$P_c$$

= Power draw of processing cores (W)



$$P_m$$

= Power draw from memory (W)

▶ PUE (Power Usage Effectiveness) = efficiency of data center

Green Algorithm online tool

<http://calculator.green-algorithms.org/>

Green Algorithms

How green are your computations?

Check out the new **Green Algorithms website** www.green-algorithms.org

Details about your algorithm

To understand how each parameter impacts your carbon footprint, check out the formula below and the [methods article](#).

Runtime (HH:MM)

Type of cores

Number of GPUs

Model

Memory available (in GB)

Select the platform used for the computations

Select location

Do you know the real usage factor of your GPU?
 Yes No

Do you know the Power Usage Efficiency (PUE) of your local data centre?
 Yes No

Do you want to use a Pragmatic Scaling Factor?

518.42 g CO₂e
Carbon footprint

10.11 kWh
Energy needed

0.57 tree-months
Carbon sequestration

2.96 km
in a passenger car

1%
of a flight Paris-London

Share your results with [this link!](#)

Computing cores VS Memory

Category	Value
GPU	99.9%
Memory	0.0995%

How the location impacts your footprint

Location	Emissions (gCO ₂ e)
Sweden	~1000
Switzerland	~1500
France	~2000
Central	~3000
United Kingdom	~4000
USA	~5000
China	~6000
India	~7000
Indonesia	~8000

Code Carbon python package

<https://github.com/mlco2/codecarbon>

```
import tensorflow as tf

from codecarbon import EmissionsTracker

mnist = tf.keras.datasets.mnist

(x_train, y_train), (x_test, y_test) = mnist.load_data()
x_train, x_test = x_train / 255.0, x_test / 255.0

model = tf.keras.models.Sequential([tf.keras.layers.Flatten(input_shape=(28, 28)),
    tf.keras.layers.Dense(128, activation="relu"), tf.keras.layers.Dropout(0.2),
    tf.keras.layers.Dense(10),])

loss_fn = tf.keras.losses.SparseCategoricalCrossentropy(from_logits=True)
model.compile(optimizer="adam", loss=loss_fn, metrics=["accuracy"])

tracker = EmissionsTracker()
tracker.start()
model.fit(x_train, y_train, epochs=10)
emissions: float = tracker.stop()
print(emissions)
```

Code Carbon python package

<https://github.com/mlco2/codecarbon>

```
import tensorflow as tf

from codecarbon import track_emissions
@track_emissions(project_name="mnist")
def train_model():
    mnist = tf.keras.datasets.mnist
    (x_train, y_train), (x_test, y_test) = mnist.load_data()
    x_train, x_test = x_train / 255.0, x_test / 255.0
    model = tf.keras.models.Sequential([tf.keras.layers.Flatten(input_shape=(28, 28)),
    tf.keras.layers.Dense(128, activation="relu"), tf.keras.layers.Dropout(0.2),
    tf.keras.layers.Dense(10)])
    loss_fn = tf.keras.losses.SparseCategoricalCrossentropy(from_logits=True)
    model.compile(optimizer="adam", loss=loss_fn, metrics=["accuracy"])
    model.fit(x_train, y_train, epochs=10)
    return model

if __name__ == "__main__":
    model = train_model()
```

Code Carbon python package

Results

```
[codecarbon INFO @ 11:15:30] Energy consumed for RAM : 0.000018 kWh.  
                             RAM Power : 5.737926006317139 W  
[codecarbon INFO @ 11:15:30] Energy consumed for all CPUs : 0.000044 kWh.  
                             Total CPU Power : 14.0 W  
[codecarbon INFO @ 11:15:30] 0.000061 kWh of electricity used since the beginning.  
3.56889761642147e-06
```

Adding this info in your research paper

<https://doi.org/10.1016/j.jbi.2022.104073>

Table 2

Overall results on test corpus.

	Precision	Recall	F-Measure	CO ₂ equivalent (g.)
Private Model (<i>MERLOT, teacher model</i>)	0.852	0.862	0.857	123
Public Model (<i>DEFT</i>)	0.592	0.383	0.465	22
Dictionary-based Model (<i>JDM</i>)	0.153	0.062	0.089	–
Dictionary-based Model (<i>UMLS</i>)	0.246	0.168	0.200	–
Privacy-Preserving Mimic Model (<i>DEFT, student model</i>)	0.604	0.743	0.666	30
Privacy-Preserving Mimic Model (<i>CAS, student model</i>)	0.628	0.806	0.706	169
Privacy-Preserving Mimic Model (<i>CépiDc, student model</i>)	0.580	0.710	0.638	394

Adding this info in your research paper

This algorithm runs in 12h on 2 GPUs NVIDIA GTX 1080 Ti and 12 CPUs Xeon E5-2683 v4, and draws 35.74 kWh. Based in France, and ran 3 times in total, this has a carbon footprint of 1.83 kg CO₂e, which is equivalent to 2.00 tree-months (calculated using green-algorithms.org v2.2 [1]).

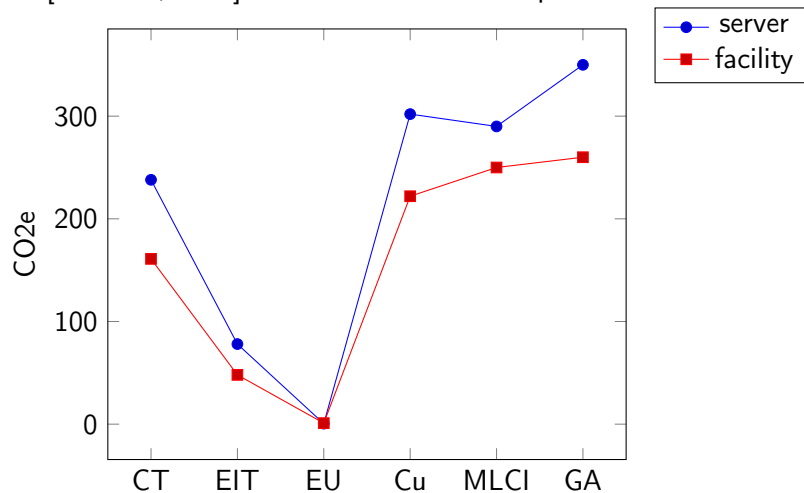
[1] Lannelongue, L., Grealey, J., Inouye, M., Green Algorithms: Quantifying the Carbon Footprint of Computation. Adv. Sci. 2021, 2100707.

Application to a named entity recognition task

- ▶ 2 NER tools
 - ▶ one that addresses flat entity recognition [Ma and Hovy, 2016]
 - ▶ one that addresses both flat and nested entity recognition, introduced by [Yu et al., 2020]
- ▶ 2 setups
 - ▶ GTX 1080 Ti GPUs used on a server
 - ▶ Tesla V100 GPUs used on a computing facility
- ▶ 2 datasets
 - ▶ QUAERO Broadcast News Extended Named Entity dataset [Galibert et al., 2010] (French press)
 - ▶ QUAERO French Med dataset [Névéol et al., 2014]
- ▶ 2 measures
 - ▶ energy consumption
 - ▶ carbon footprint

Results

for [Yu et al., 2020] on the French Press corpus



Why are the results so heterogeneous?

- ▶ Carbon intensity varies: CT used the average carbon intensity for EU-28 in 2017 (294.21 gCO₂eq/kWh), while electricityMap gives around 30 to 40 gCO₂eq/kWh
- ▶ Hardware options may not be available
- ▶ Tools not adapted to a multi-user setting
- ▶ Direct measures vs estimations

Carbon intensity variations throughout the day

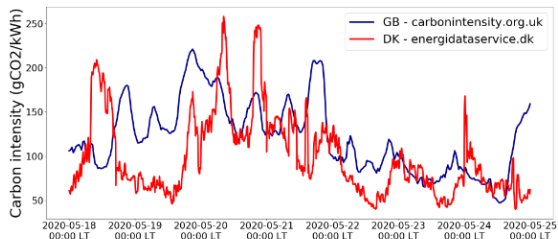
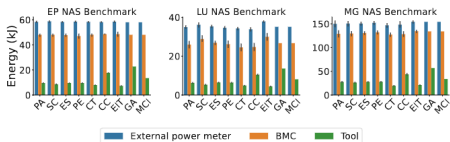
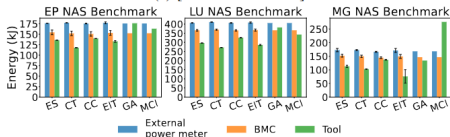


Figure 5. Real-time carbon intensity ($\text{gCO}_2\text{eq/kWh}$) for Denmark (DK) and Great Britain (GB) from 2020-05-18 to 2020-05-25 shown in local time. The data is collected using the APIs supported by *carbontracker*. The carbon intensities are volatile to changes in energy demand and depend on the energy sources available.

Comparing tool measurements to electricity measurement



(a) [CPU Benchmarks]



(b) [GPU Benchmarks]

Fig. 2: Total energy consumed by the benchmarks as reported by the power meters. Tools: PowerAPI (PA), Scaphandre (SC), Energy Scope (ES), Perf (PE), Carbon Tracker (CT), Code Carbon (CC), Experiment Impact Tracker (EIT), Green Algorithm (GA), ML CO2 Impact (MCI)

What did we learn about measuring CO2 impact in NLP?

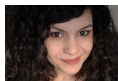
- ▶ Server seems more carbon intensive than computing facility
- ▶ Tools provide different measures for the same experiments
 - ▶ direct measure vs. estimation of computation
 - ▶ values of Carbon Intensity, Power Usage Effectiveness (PUE)
 - ▶ some tools are not sensitive enough to capture small impact



Measurements conducted as part of N. Bannour's PhD work.

What did we learn about measuring CO2 impact in NLP?

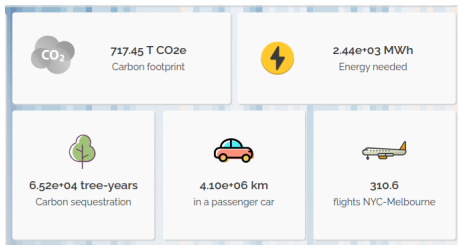
- ▶ Replicability and comparability over time and hardware set-ups
 - ▶ check for tool versioning (and ability to select version)
 - ▶ check for tool parameters (may vary between set-ups)
- ▶ Tools only account for dynamic use of hardware (1 in 4 sources of carbon emission)



Measurements conducted as part of N. Bannour's PhD work.

What is the impact of chatGPT? - Training

- ▶ Data is hard to find!
 - ▶ It was suggested that OpenAI required 3,617 of NVIDIA's HGX A100 servers to train for [90-100] days on Azure cloud



What is the impact of chatGPT? - Use

- ▶ Based on public OpenAI sources, **chatGPT query impact estimated at 4.32 g. CO2**
 - ▶ Based on a 2009 Google report, **search query impact estimated at 0.2 g. CO2**
 - ▶ The impact of a chatGPT query is **22 times higher** that of a traditional search query

Wong V. Gen AI's Environmental Ledger: A Closer Look at the Carbon Footprint of ChatGPT. Piktochart blog, November 2023.

What does this impact mean?

- ▶ 16 queries is equivalent to boiling a kettle
- ▶ 20 queries per day for a year will get you to Berlin (and back)
 - ▶ 7,300 queries is equivalent to the impact of a return train trip from Paris to Berlin.
 - ▶ Also equivalent to a flight from Orly to Charles de Gaulles

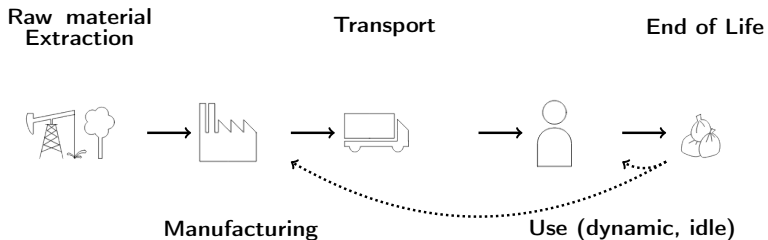
Reports indicate that OpenAI uses **30,000 NVidia A100 GPUs** to keep the generative AI running

How can we account for more impact sources?



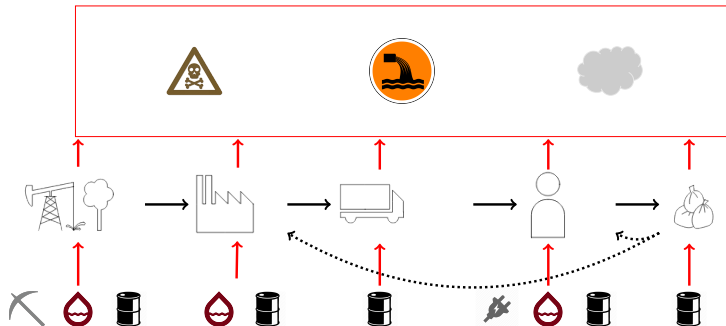
- ▶ Work by Clément Morand

Phases of hardware Life Cycle



Impacts differ per phase

Pollution (soil, water, air)



Natural resources

Tools for evaluating the impact of computation

Outil	Phase du cycle de vie considérée						évaluation multi-critères	estimation de la consommation	support des GPU
	Ext.	Fab.	Dis.	Uti.		FdV.			
				Infra.	Dyn.				
Green Algorithms	X	X	X	✓	✓	X	X	✓	✓
ML CO ₂ Impact	X	X	X	X	✓	X	X	✓	✓
CarbonTracker	X	X	X	✓	✓	X	X	X	✓
CodeCarbon	X	X	X	✓	✓	X	X	X	✓
Boavizta	✓	✓	X	X	X	X	✓	-	X

Tools for evaluating the impact of computation

Outil	Phase du cycle de vie considérée						évaluation multi-critères	estimation de la consommation	support des GPU
	Ext.	Fab.	Dis.	Uti.		FdV.			
				Infra.	Dyn.				
Green Algorithms	X	X	X	✓	✓	X	X	✓	✓
ML CO ₂ Impact	X	X	X	X	✓	X	X	✓	✓
CarbonTracker	X	X	X	✓	✓	X	X	X	✓
CodeCarbon	X	X	X	✓	✓	X	X	X	✓
Boavizta	✓	✓	X	X	X	X	✓	-	X

Impacts measures

- ▶ Abiotic Depletion Potential (ADP), measured in kgSbeq [van Oers et al., 2020, Bruijn et al., 2002]
- ▶ Primary Energy (PE), measured in MJ [Frischknecht et al., 2015]
- ▶ Global Warming Potential (GWP) , mesuré en gCO₂e

Proposed tool: MLCA

	ADP	GWP	PE	Toxicité humaine	Consommation d'eau	...
Extraction	✓	✓	✓	✗	✗	✗
Fabrication	✓	✓	✓	✗	✗	✗
Distribution	✗	✗	✗	✗	✗	✗
Utilisation	✓	✓	✓	✗	✗	✗
Fin de Vie	✗	✗	✗	✗	✗	✗



GPU modeling



Attribution of production impacts



Infrastructure energy use



What do these impacts mean?

Modeling the manufacturing impacts of Graphics Cards

Graphics card = GPU + Memory + Base

- ▶ GPU modeled by die size
- ▶ memory modeled by memory size
- ▶ base impacts computed from [Loubet et al., 2023]

$$\begin{aligned} \text{Graphics card}_{\text{impact}} = & \text{die}_{\text{size}} * \text{die}_{\text{impact}}_{\text{per-cm}^2} \\ & + \text{memory}_{\text{size}} * \text{memory}_{\text{impact}}_{\text{perGB}} \\ & + \text{base}_{\text{impact}} \end{aligned}$$

where $\text{impact} \in \{\text{ADP}, \text{PE}, \text{GWP}\}$.

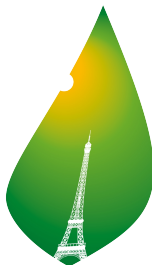
Manufacturing impacts Attribution

- ▶ linear attribution
- ▶ [?] on the Jean Zay cluster

$$\text{embodied}_{\text{impact}} = \text{manufacturing}_{\text{impact}} \frac{\text{hours usage}}{\text{total available hours}}$$

$\text{impact} \in \{\text{ADP, PE, GWP}\}$

Some perspective on impacts



COP21·CMP11

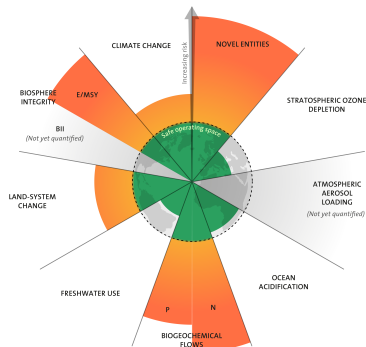
PARIS 2015

UN CLIMATE CHANGE CONFERENCE

French National Low Carbon Strategy: 2 tCO₂ e/person/year

<https://indicateurs-snbc.developpement-durable.gouv.fr/empreinte-carbone-des-francais-a27.html>

Some perspective on impacts

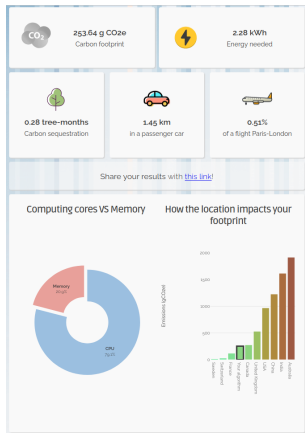


Limites planétaires [Sala et al., 2020]

- ▶ $PB_{GWP} = 985 \text{ kgCO}_2 \text{ e/personne/an}$
- ▶ $PB_{ADP} = 3.17E-02 \text{ kgSbeq/personne/an}$

picture: an analysis by Persson et al 2022 and Steffen et al 2015

Some perspective on impacts



<http://calculator.green-algorithms.org/>

Evaluation protocol

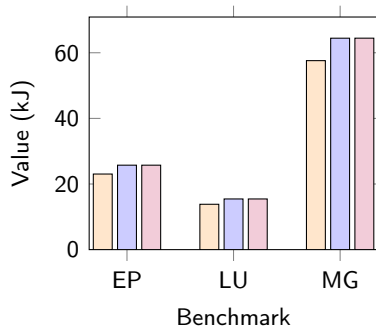
Replication of
impact studies of
experiments
5 studies

Comparison with
production phase
assessment studies
Dell Server LCA

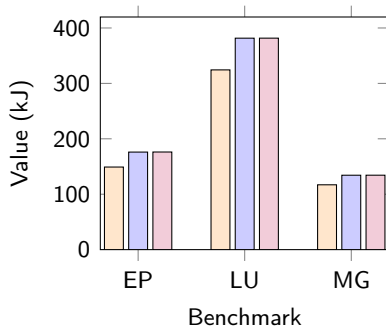
Assessment over the
life cycle
*Reproducing Bloom
study*

Replicating results from Jay et al.

Difference between real TDP of the GPU and the TDP used in Green Algorithms



(a) CPU benchmarks



(b) GPU benchmarks

Figure: Value Real (orange \square), Value Match (blue \square), Expected (purple \square)

Sphera for Dell on the R6515, R6525 servers

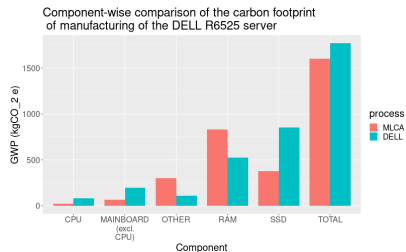
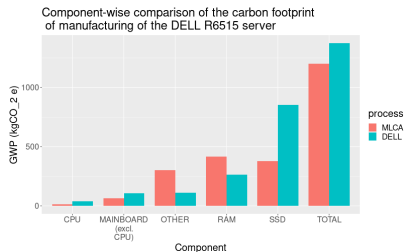
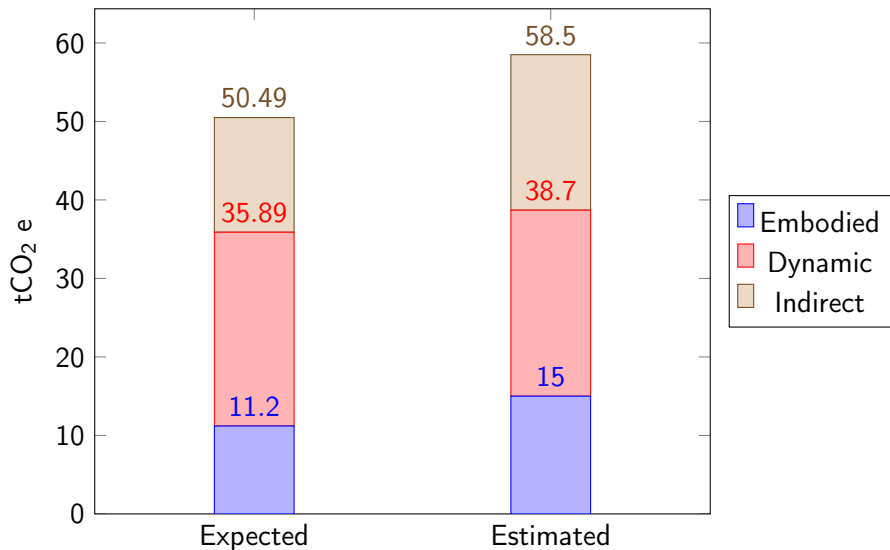


Figure: Component-wise comparison of the GWP of manufacturing for the Dell R6515 (left) and R6525 servers (right)

Evaluation of the whole tool: reproducing results from Luccioni et al.



Implications of the integration of LCA in impact measurement

- ▶ Estimation quality is good
 - ▶ Attested by replication studies
 - ▶ Impact of LCA is significant (half the total impact for BLOOM!)
- ▶ Collaboration with Green Algorithms team
- ▶ Available for new impact studies

A broad approach to "green computing"

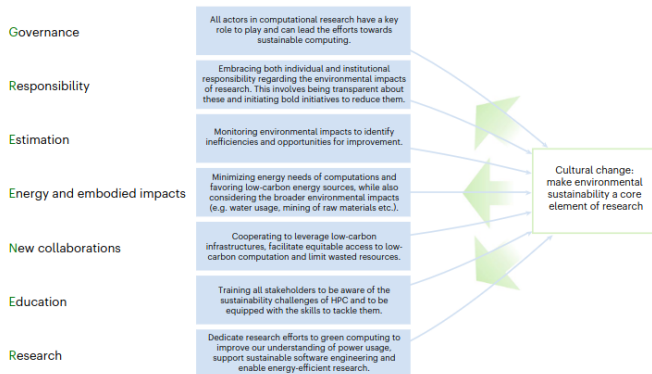


Fig. 1 | GREENER principles for ESCS. The GREENER principles enable cultural change (blue arrows), which in turn facilitates their implementation (green arrows) and triggers a virtuous circle.

Lannelongue, L., Aronson, H.E.G., Bateman, A. et al. GREENER principles for environmentally sustainable computational science. *Nat Comput Sci* 3, 514–521 (2023).

Impact of NLP for health in France

- ▶ Attempt at a broad view
 - ▶ Identifying impact generating NLP activities
 - ▶ Understanding stakeholders knowledge and opinion
- ▶ Focus on Clinical Data Warehouses
- ▶ Unstructured interviews of 7 participants

Interview scenario

Presentation of the study followed by questions:

1. Please describe your background and your current position.
2. Which AI or NLP tools do you or your collaborators use in your professional practice ?
3. Which infrastructure is needed to support the use of these tools?
4. What are your thoughts on the environmental impact of these tools?
5. What are your thoughts on the ethical impact of these tools?

Study participant profiles

Training	Hospital staff	NLP researcher	Government employee	CDW management	Location
CS & MD	✓	✓	✗	✗	Paris
CS & MD	✓	✓	✗	✗	Paris
CS	✓	✓	✗	✗	Paris
CS	✓	✓	✗	✓	Paris
CS & MD	✓	✓	✗	✓	Rouen
MBA	✗	✗	✓	✗	Paris
CS & MD	✓	✓	✓	✗	Paris

Interview findings on ICT use & infrastructure

Use

- ▶ Development of CDW : research, care, operations
- ▶ Need for new automation tools

Infrastructure

- ▶ System duplication
- ▶ Need for compute power
- ▶ At a standpoint for major infrastructure mutation

Interview findings on ethics and environmental impact

Environmental policy

- ▶ Some isolated efforts, but no overall policy
- ▶ Carbon footprint of French hospital information systems is 190,000 tCO₂e [?]
- ▶ Health department is working on an eco scale

Ethics

- ▶ Privacy is of utmost importance
- ▶ Risk of impact on patient-clinician rapport
- ▶ Who is responsible for the impact of AI recommendations?
- ▶ How does AI impact physician training?
- ▶ Need for cyber-attack management and mitigation of digital dependency

Overall findings

1. Health in France is increasingly digital (hospital operations, medical practice, public health research)
2. The development of Clinical Data Warehouses is a major change in the healthcare system and strongly impacts the use of digital health solutions
3. Digital health sustainability is not fully part of the decision process

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Summary:

On the path to greener AI:
involving all stakeholders
measuring impact
informing decisions



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